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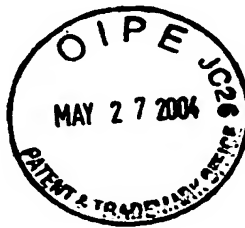
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Hans-Wolfram Flemming, et al

Application No.: 10/764,810

Filed: **January 26, 2004**

Title: **Process For Preparing Pyridine-Substituted
Amino Ketal Derivatives**



Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

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UNDER 37 C.F.R. 1.56, 1.97 AND 1.98

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- ☒ (a) This Information Disclosure Statement is filed within the period set forth in §1.97(b) because it accompanies the new patent application submitted herewith, is filed within three months of the filing date of a national application or within three months of the date of entry of the national stage as set forth in §1.491 in an international application, or is believed to be filed before the mailing date of a first Office Action on the merits, whichever event occurs last. However, in the event that the first office action has been mailed, the Commissioner is authorized to charge any fees under 37 C.F.R. 1.17(p) or credit any overpayment to Account No. 18-1982.

- ☐ (b) This Information Disclosure Statement is filed after the period set forth in 37 C.F.R. 1.97(b), but is believed to be filed before the mailing date of a final action under §1.113 or a notice of allowance under §1.311, whichever occurs first.
- ☐ (1) The undersigned attorney certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement;
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- ☐ (3) This Information Disclosure Statement is accompanied by a transmittal letter in which payment of the fee set forth in §1.17(p) and required by 37 C.F.R. 1.97(c) is authorized.

Based on the new USPTO procedures, it is not required to submit copies of U.S. patents and U.S. publications for all applications filed after June 30, 2003. Therefore, copies of the three (3) Patent Documents listed on the attached PTO-1449 form are not enclosed with this Information Disclosure Statement.

Respectfully submitted,



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Sheet	1	of	2	Attorney Docket Number	DEAV2003/0009 - US - NP
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

2

of

2

Application Number

10/764,810

Filing Date

01-26-2004

First Named Inventor

HANS-WOLFRAM FLEMMING, ET AL

Group Art Unit

Examiner Name

Attorney Docket Number

DEAV2003/0009 - US - NP

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		GEORGE ROGER CLEMO et al., The Synthesis of Phenyl- and Pyridyl-glyoxalines, Journal Am. Soc. (1938, pp. 753-755, Volume 53)	
		JOHN Y.L. CHUNG et al., Practical Chemoenzymatic Synthesis of a 3-Pyridylethanolamino Beta3 Adrenergic Receptor Agonist, Tetrahedron Letters (1999, pp. 6739-6743, Volume 40)	
		Houben-Weyl 11/1: Stickstoffverbindungen II, (1957, S. pp. 903-905)	

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